

Disclosure Statement (Financial Adviser)

Name of Financial Adviser	Samuel Wilson Rees-Thomas
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This disclosure statement was prepared on 18 May 2017.

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, not authorised financial adviser.

I can give you advice regarding category 2 products as defined in the Financial Advisers Act 2008 including term life insurance, disability insurance, critical illness and trauma insurance, income protection insurance, health insurance, key person insurance, business expenses insurance and cash or term deposits.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the problem.

You may contact the internal complaints scheme by sending your complaint to reception@rtfs.nz or PO Box 24514, Wellington or by phoning 04 384 7113. If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact the Insurance & Savings Ombudsman. This service will cost you nothing, and will help us resolve any disagreements. You can contact the Insurance & Savings Ombudsman at —

Address: Level 11, Classic House, 15-17 Murphy St, PO Box 10845, Wellington 6143

Telephone: (04) 499 7612 or 0800 888 202 or Email address: info@iombudsman.org.nz

How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

Declaration

I, Samuel Wilson Rees-Thomas, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

