

## **DISCLOSURE STATEMENT for Robert John Shaw**

**V1.1 prepared 1<sup>st</sup> July 2011**

### **Registered Financial Adviser (FSP 34441) At Ease Insurance Limited**

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#### **It is important that you read this document**

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

#### **What sort of Adviser am I?**

I am a Registered, but not Authorised Financial Adviser. I can give you advice about Category 2 products as follows:-

Life Cover, Accidental Death Cover, Disability Income Protection, Trauma Cover, Business Overheads Cover, Mortgage Protection Insurance, Key Person Protection Insurance, Medical Insurance.

#### **What should you do if something goes wrong?**

If you have a problem, concern, or complaint about any part of my service, please tell me so I can try to fix the problem. You may contact our internal disputes service by telephoning 03 312 9697 or by email on [robateaseinsuranceltd@extra.co.nz](mailto:robateaseinsuranceltd@extra.co.nz) or in writing to PO Box 89, SEFTON, 7445.

If we cannot agree on how to resolve this issue, you can contact the "Insurance & Financial Services Ombudsman Scheme Inc." (IFSO Scheme). This service will cost you nothing and will help us resolve any disagreements. You can contact the IFSO Scheme by emailing [info@ifso.nz](mailto:info@ifso.nz), telephoning them on 0800 888 202 or 04 499 7612, or writing to Insurance & Financial Services Ombudsman Scheme Inc., PO Box 10-845, Wellington 6143.

#### **How am I regulated by the Government?**

You can check that I am a Registered Financial Adviser at <http://fspr.govt.nz>. The Financial Markets Authority regulates financial advisers. Contact the Markets Authority for more information, including financial tips and warnings.

You can report information or complaints about my conduct to the Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under "What should you do if something goes wrong?").

#### **Declaration**

I, Robert John Shaw, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

Dated: